

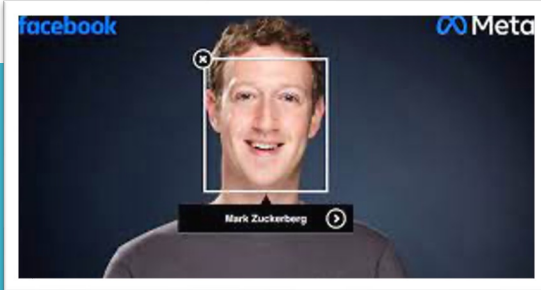
Facial Emotion Detection

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Feb 24, 2024



Current Capabilities

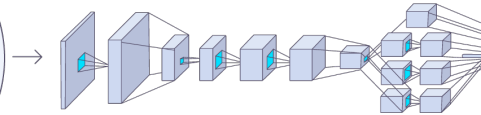


Facebook's New Face-Recognition Software Is Scary Good

SLATE

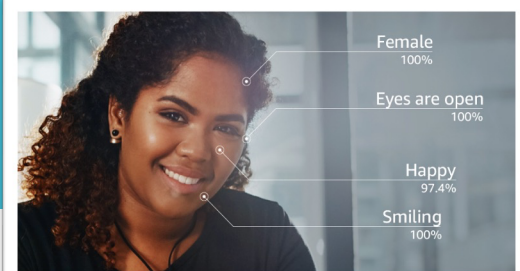


FaceNet



Google: Our new system for recognizing faces is the best one ever

FORTUNE



Justice Department discloses FBI project with Amazon Rekognition tool

FEDSCOOP



Helping Kids with Autism Read Facial Expressions

Specific FER
Use Case



Goal: Classify an
emotion from a
facial image

The Available Data

Quality

Diversity

Class characteristics

Happy



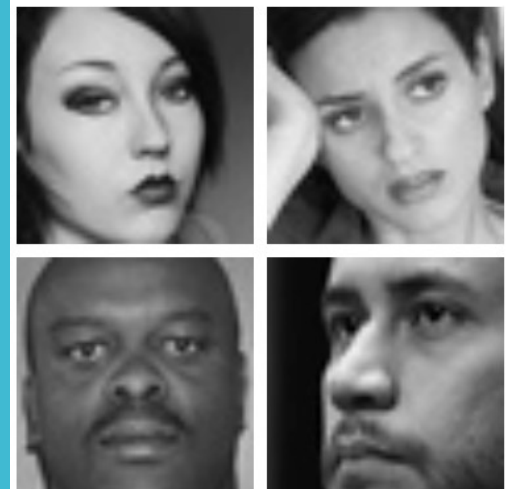
Sad



Surprise



Neutral



The Available Data

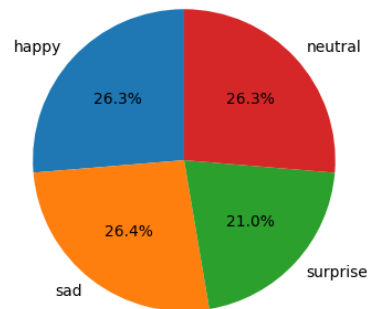
Distribution

20,214 Labeled Images

Train set

15,109

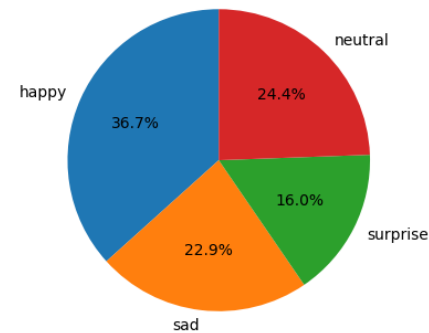
74.8%



Validation set

4,977

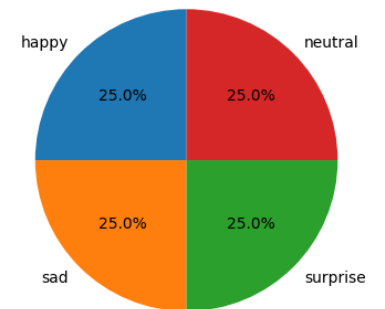
24.6%



Test set

128

0.6%



The Available Data

Challenges

Illumination



Camera viewpoint



Watermarks



Non-human faces



Occlusions



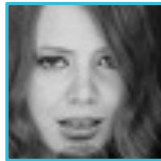
Misalignment / Cropping



The Available Data

Observed Issues

Happy



Neutral



Sad

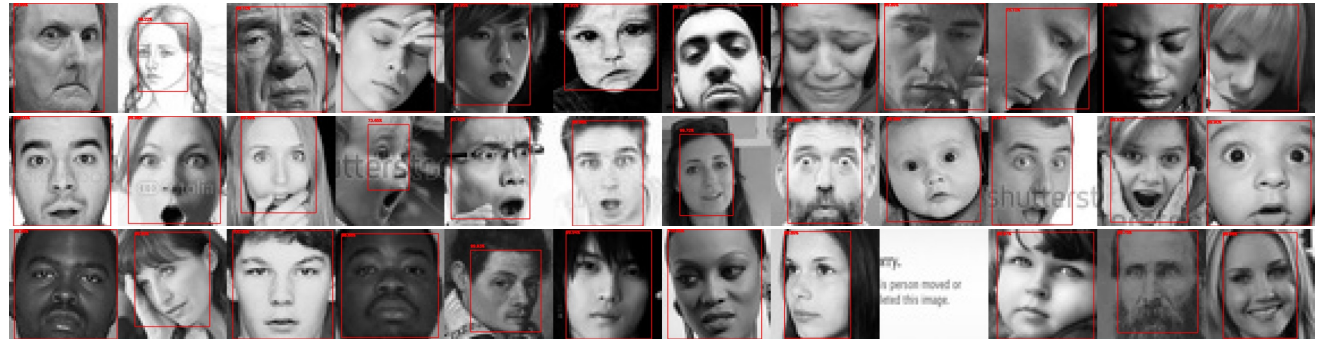


Surprise



Data Cleaning

Face Detection



Duplicate Detection

- Inter-class duplicates: Exclude
- Intra-class duplicates: Exclude to balance train/validation

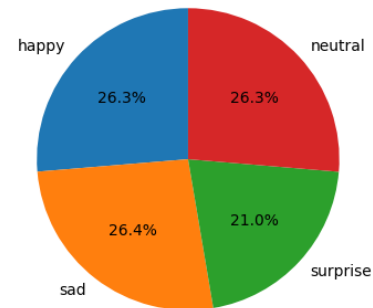
Mislabeled Images

- Known issue: Still to be addressed

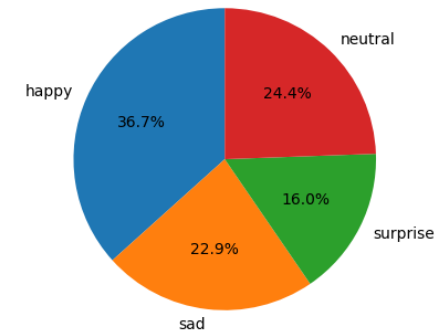
Data Cleaning

Original:
20,214 images

Train set
15,109
74.8%

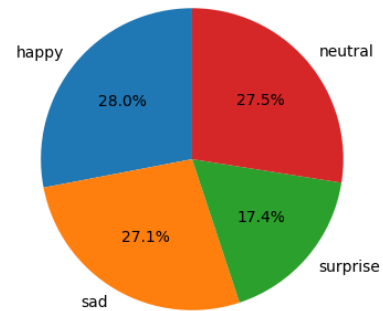


Validation set
4,977
24.6%

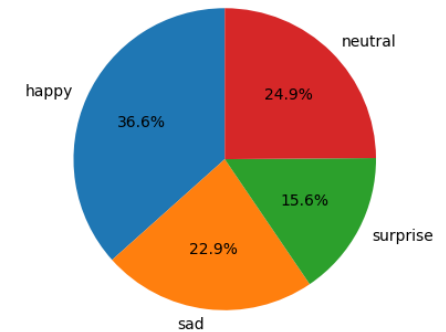


Cleaned:
18,931 images

Train set
13,972
73.8%



Validation set
4,831
25.6%



Model Descriptions

Models

- Pre-trained models: VGG16, ResNet50V2, EfficientNetBo
- Loss/Activation functions: Categorical crossentropy / Softmax
- Optimizer: Adam
- Metric: Accuracy

Versions

- Base model
- Varying Levels of Data Augmentation
- Varying number of convolution blocks frozen vs. trainable
- Adding an additional dense layer on top
- Varying initial learning rate
- Varying batch size
- Adding class weight balancing

Data Augmentation Levels

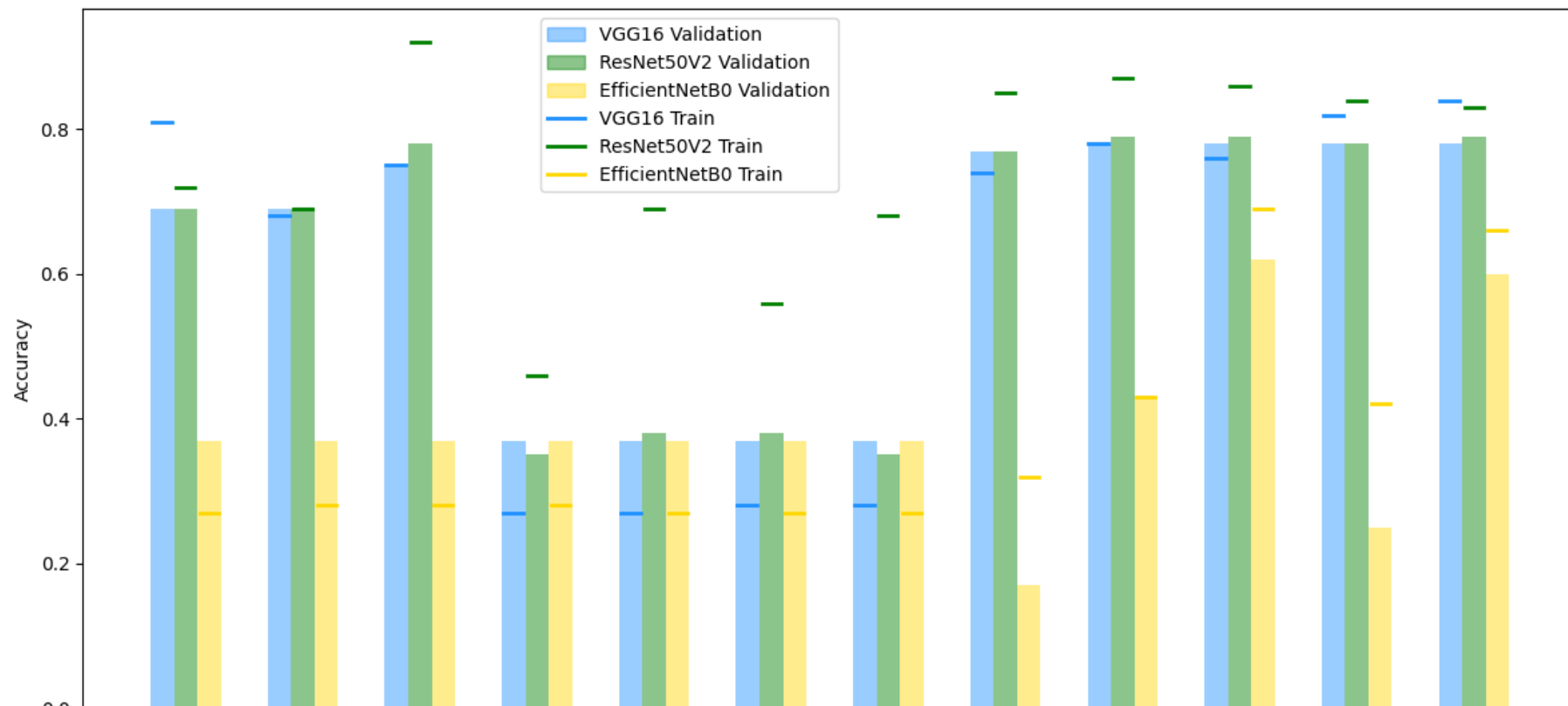


Low data augmentation:		True	5%	5%	5%	
Mid data augmentation:	15 degrees	True	5%	5%	5%	
High data augmentation:	15 degrees	True	5%	5%	5%	Exposure & gamma

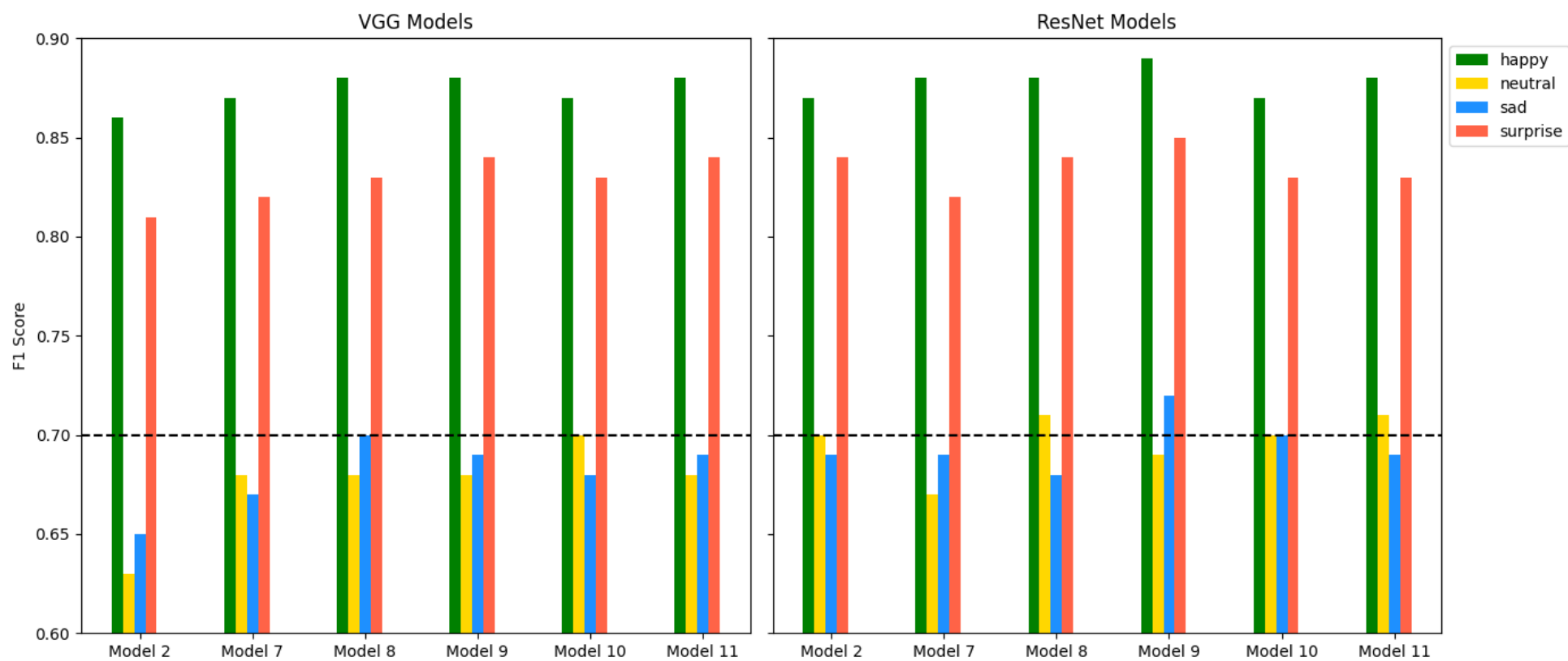
Model Version Descriptions

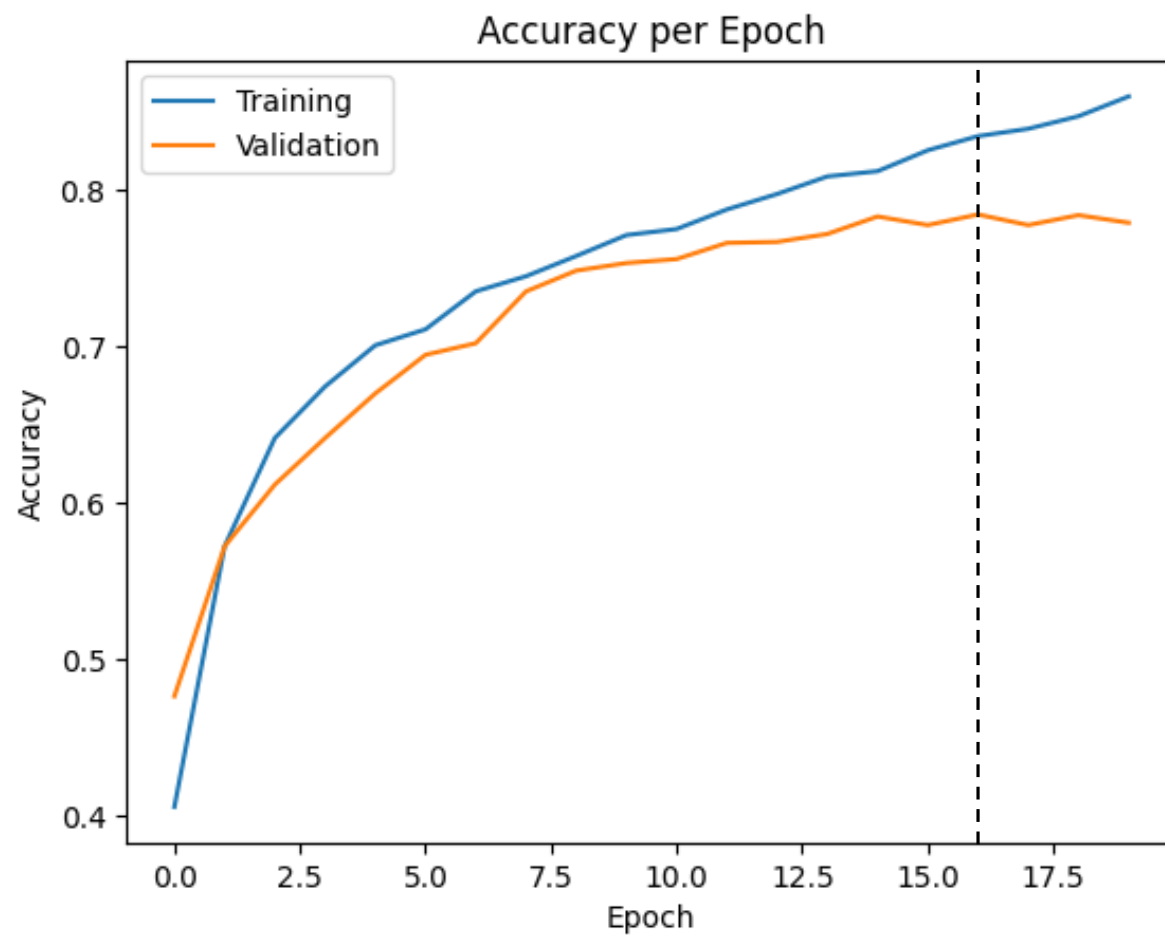
	Model 0	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6	Model 7	Model 8	Model 9	Model 10	Model 11
Data Augmentation:	none	low		high				mid				
Learning Rate:	0.001		0.0001				0.00001					
Unfreeze top # conv. blocks:	0		1		2			3		all		
Batch size:	32										128	
						add dense layer						weight

Accuracies for Model Versions

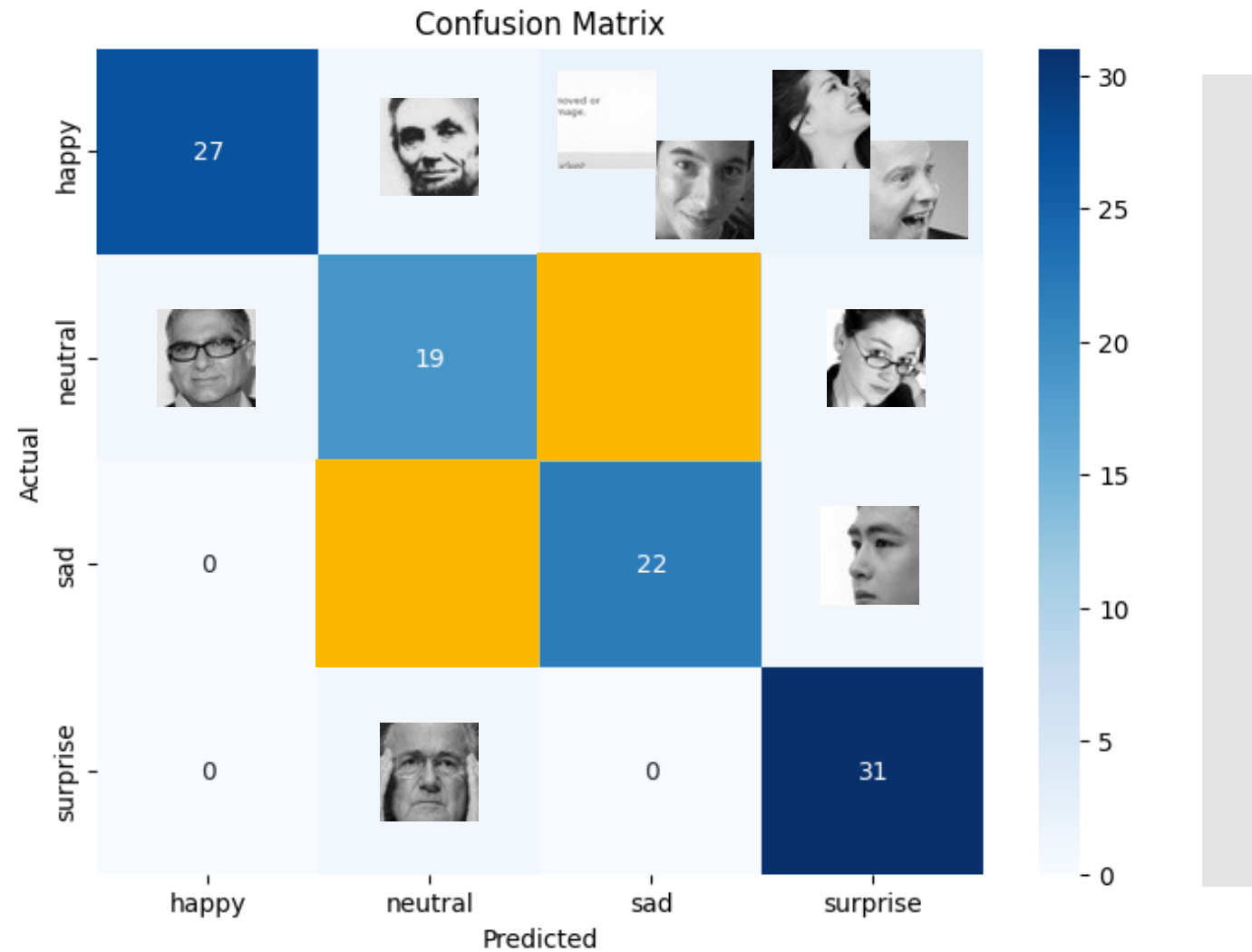


	Model 0	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6	Model 7	Model 8	Model 9	Model 10	Model 11
Data Augmentation:	none	low		high				mid				
Learning Rate:	0.001		0.0001				0.00001					
Unfreeze top # conv. blocks:	0		1		2				3	all		
Batch size:	32										128	
						add dense layer						weight

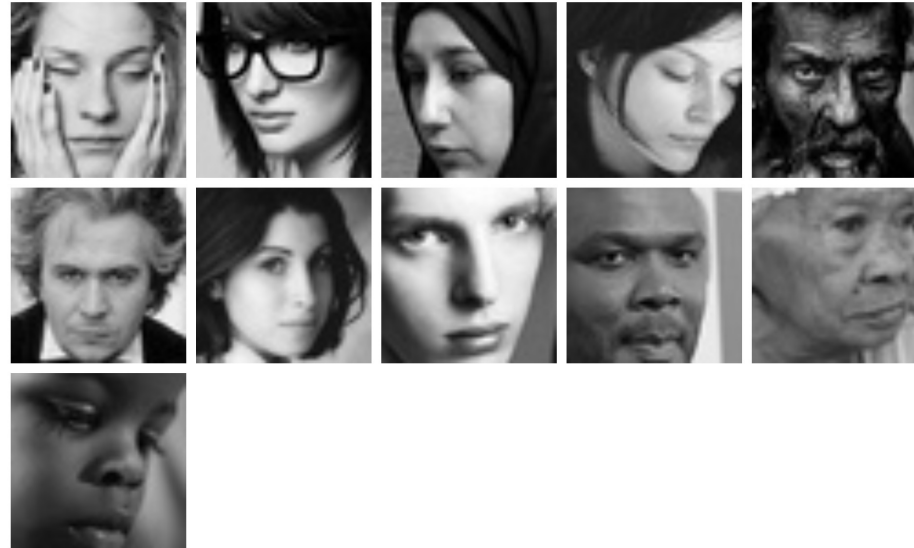




Unseen Test Data Performance



Unseen Test Data Performance



Actual Sad?

Actual Neutral?

Predicted Sad?

Predicted Neutral?



Unseen Test Data Performance

	Validation	Test
Accuracy	0.78	0.77
F1-happy	0.88	0.90
F1-neutral	0.71	0.61
F1-sad	0.69	0.66
F1-surprise	0.83	0.93

Further Analysis Needed

- Human accuracy on emotion labeling within our dataset?
- How much does the model need to generalize?
- How do other FER-specific trained models perform in comparison?
- Does the model have any gender/racial/age biases?
- What features has the model actually learned?
- Must the emotion classes be mutually exclusive?